

03-13-00

Attorney Docket No. 99-062

17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. WALKER et al. ) Customer No.: 22927  
For: METHOD, SYSTEM AND ) Examiner Not yet assigned  
COMPUTER PROGRAM )  
PRODUCT FOR FACILITATING AN )  
AUTOMATIC BEHAVIOR AND )  
AUTOMATIC BIDDING IN AN )  
AUCTION )  
Serial No.: Not yet assigned ) Group Art Unit: Not yet assigned  
Filing Date: March , 2000 ) Docket No.: 99-062

09/523653

03/10/00

**Box Patent Application**

Assistant Commissioner for Patents  
Washington, D. C. 20231

**TRANSMITTAL LETTER**

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Certificate of Mailing by Express Mail No. EL080833748US;
2. Patent Application Transmittal Letter (large entity)(in duplicate);
3. Executed Combined Declaration and Power of Attorney;
4. Recordation Form Cover Sheet with Executed Assignment Document;
5. Patent Application (32 pages);
6. Set of Formal Drawings (16 sheets: Figs. 1-18);
7. Information Disclosure Statement;
8. PTO-1449 with copies of references cited (33); and
9. Acknowledgment Postcard.

The Assistant Commissioner is hereby authorized to charge \$ 2,740.00 to Deposit Account No. 50-0271. Order No. 99-062. This total includes \$ 2,700.00 for processing the filing of the application and \$ 40.00 for processing the assignment papers. A duplicate copy of this sheet is attached.

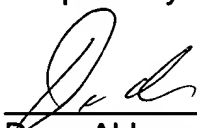
03/10/00

00523653-031000

The Assistant Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. 99-062. A duplicate copy of this sheet is attached.

Respectfully submitted,

March 10, 2000  
Date

  
Dean Alderucci  
Attorney for Applicants  
PTO Reg. No. 40,484  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905  
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### Certificate of Mailing

I hereby certify that this correspondence is being sent via Express Mail in an envelope labeled EL080833748US to Box Patent Application, Assistant Commissioner for Patents, Washington, DC, 20231 on March 10, 2000.

<u>Margaret N. Kaswer</u>		<u>03/10/2000</u>
Typed Name of Person Making Deposit	Signature	Date

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.  
99-062Total Pages in this Submission  
77**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**METHOD, SYSTEM AND COMPUTER PROGRAM PRODUCT FOR FACILITATING AN AUCTION  
BEHAVIOR AND AUTOMATIC BIDDING IN AN AUCTION**

and invented by:

**Jay S. WALKER; Daniel E. TEDESCO; Kathleen VAN LUCHENE; James A. JORASCH; and Adam WARE**685 U.S. P10  
09/523653  
03/10/00If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 32 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications *(if applicable)*
  - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
  - d. ☐ Reference to Microfiche Appendix *(if applicable)*
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings *(if drawings filed)*
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
99-062

Total Pages in this Submission  
77

**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal                      Number of Sheets 16
- b. ☐ Informal                      Number of Sheets \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)*      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449      ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class      ☐ Express Mail *(Specify Label No.):* EL080833748US

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
99-062

Total Pages in this Submission  
77

## Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☐ Additional Enclosures (please identify below):

## Fee Calculation and Transmittal

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	58	- 20 =	38	x \$18.00	\$684.00
Indep. Claims	20	- 3 =	17	x \$78.00	\$1,326.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose) Assignment					\$40.00
TOTAL FILING FEE					\$2,740.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$2,740.00 as filing fee.
  - ☒ Credit any overpayment.
  - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Signature  
Dean Alderucci  
Attorney for Applicants  
PTO Reg. No. 40,484  
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Five High Ridge Park  
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Dated: March 10, 2000

cc:



22927

PATENT TRADEMARK OFFICE

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Jay S. WALKER et al.

Docket No.

99-062

Serial No.

Not yet assigned

Filing Date

March 10, 2000

Examiner

Not yet assigned

Group Art Unit

Not yet assigned

Invention: **METHOD, SYSTEM AND COMPUTER PROGRAM PRODUCT FOR FACILITATING AN AUCTION BEHAVIOR AND AUTOMATIC BIDDING IN AN AUCTION**

I hereby certify that this **PATENT APPLICATION***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on March 10, 2000  
*(Date)*

**Margaret N. Kaswer***(Typed or Printed Name of Person Mailing Correspondence)*A handwritten signature in cursive script that reads "Margaret N. Kaswer".  
*(Signature of Person Mailing Correspondence)***EL080833748US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**